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Kt No. S2-US3

Attachment to Paper 10

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2

In the Application of G.N. Cox, III, *et al.*

Serial No.: 09/706,243

Art Unit: 1631

Filed: November 3, 2000

Examiner: J. Brusca

Title: REGULATION OF ENDOGENOUS GENE EXPRESSION IN CELLS USING ZINC FINGER PROTEINS

U.S. PATENT DOCUMENTS

| Exam. Init. | Ref. Desig. | Document No. | Date | Name | Class | Sub Class | Filing Date |
|-------------|-------------|--------------|------|------|-------|-----------|-------------|
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FOREIGN PATENT DOCUMENTS

| Exam. Init. | Ref. Desig. | Document No. | Publication Date | Country or Patent Office | Class | Sub Class | Translation YES NO |
|-------------|-------------|--------------|------------------|--------------------------|-------|-----------|--------------------|
| | | | | | | | |

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

| Exam. Init. | Ref. Desig. | Description |
|-------------|-------------|--|
| <i>JB</i> | GI | GILLEMANS <i>et al.</i> (1998) "Altered DNA binding specificity mutants of EKLF and SP-1 show that EKLF is an activator of the β -globin locus control region <i>in vivo</i> ." <i>Genes & Development</i> 12:2863-2873. |
| <i>JB</i> | GJ | THIESEN (1996) "From repression domains to designer zinc finger proteins: A novel strategy for intracellular immunization against HIV." <i>Gene Expression</i> 5:229-243. |
| <i>JB</i> | GK | IMHOF <i>et al.</i> (1999) "Transcriptional regulation of the AP-2 α promoter by BTEB-1 and AP-2rep, a novel wt-1/egr-related zinc finger repressor." <i>Molecular & Cellular Biology</i> 19:194-204. |

Examiner:

J. Brusca

Date Considered:

7/20/02

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Old No. S2-US3

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| <i>JB</i> | GL | LIU <i>et al.</i> (2001) "Regulation of an endogenous locus using a panel of designed zinc finger proteins targeted to accessible chromatin regions." <i>Journal of Biological Chemistry</i> 276:11,323-11,334. |
| <i>JB</i> | GM | LIU <i>et al.</i> (1996) "Transcription factor EGR-1 suppresses the growth and transformation of human HT-1080 fibrosarcoma cells by induction of transforming growth factor β 1." <i>Proceedings of the National Academy of Sciences of the USA</i> 93:11,831-11,836. |
| <i>JB</i> | GN | SPENGLER <i>et al.</i> (1997) "Regulation of apoptosis and cell cycle arrest by Zac1, a novel zinc finger protein expressed in the pituitary gland and the brain." <i>EMBO Journal</i> 16:2814-2825. |
| <i>JB</i> | GO | ZHANG <i>et al.</i> (2000) "Synthetic zinc finger transcription factor action at an endogenous chromosomal site." <i>Journal of Biological Chemistry</i> 275:33,850-33,860. |

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